

Audit and Governance Committee

Dorset County Council



Date of Meeting	29 June 2018
Officers	<u>Lead Cabinet Member</u> Rebecca Knox – Leader <u>Local Members</u> All Members <u>Lead Director</u> Debbie Ward, Chief Executive
Subject of Report	Progress on Matters Raised at Previous Meetings
Executive Summary	This report records:- (a) Cabinet and County Council decisions arising from recommendations from Audit and Governance Committee meetings; and (b) Outstanding actions identified at the meeting held on 12 March 2018.
Impact Assessment:	Equalities Impact Assessment: N/A
	Use of Evidence: Information used to compile this report is drawn together from the Committee's recommendations made to the Cabinet, and arising from matters raised at previous meetings. Evidence of other decisions made by the Cabinet which have differed from recommendations will also be included in the report.
	Budget: No VAT or other cost implications have been identified arising directly from this programme.

Progress on Matters Raised at Previous Meetings

	<p>Risk Assessment: Having considered the risks associated with this decision using the County Council's approved risk management methodology, the level of risk has been identified as: Current Risk: LOW Residual Risk: LOW</p>
	<p>Outcomes:</p>
	<p>Other Implications: None</p>
Recommendation	<p>That Members consider the matters set out in this report.</p>
Reason for Recommendation	<p>To support the Council's corporate aim to provide innovative and value for money services.</p>
Appendices	<p>Appendix 1 – Outstanding Actions</p>
Background Papers	<p>None</p>
Officer Contact	<p>Name: Denise Hunt, Senior Democratic Services Officer Tel: (01305) 224878 Email: d.hunt@dorsetcc.gov.uk</p>

Progress on Matters Raised at Previous Meetings

Appendix 1

Date of Meeting	Note Number and subject reference	Action Required	Responsible Officer	Completed (incl comments)
12 March 2018	22 - Meeting with Local Enterprise Partnership	<p>That information provided at the Chairman's meeting with the LEP is circulated to the Committee for information.</p> <p>That the SWAP audit report of the LEP is circulated to the Committee.</p>	<p>Committee Chairman/ Democratic Services</p> <p>Rupert Bamberger, SWAP</p>	<p>Information provided at the Chairman's meeting with the Chairman and Director of the LEP and also the final SWAP audit report of the LEP was circulated to members of the Committee following the meeting.</p>
	23 – Progress on Matters Raised at Previous Meetings - Scrutiny review of the costs of care of Looked After Children in foster or residential placements.	<p>That the scoping document for the Scrutiny Review of Looked After Children is shared with the Director of Children's Services.</p>	<p>Claire Shiels</p> <p>Assistant Director - Commissioning & Partnerships</p>	<p>The scoping document has been shared with the Director of Children's Services.</p>
	28 - Report of Internal Audit Activity - Plan Progress 2017/18	<p>That the Chairman liaises with the Audit Sponsor for Tricuro to gather information on the actions being taken in relation to the Safer Recruitment audit</p> <p>That a further report is considered regarding the Safer Recruitment audit.</p>	<p>Committee Chairman</p> <p>Rupert Bamberger, SWAP</p>	<p>The Chairman has spoken to the Audit Sponsor for Tricuro and has satisfied himself that the processes needed by Tricuro for safer recruitment are in place and it is clear where responsibilities lie, for compliance and who is responsible for monitoring activity. The County Council only has a shared monitoring responsibility as part of the Board.</p> <p>A further audit in relation to this area has now commenced, and will be reported in due course.</p>

Progress on Matters Raised at Previous Meetings

	<p>29 - Draft Annual Governance Statement 2017-18</p>	<p>That greater prominence is given in the Annual Governance Statement to LGR, infrastructure including interim solutions for key worker housing, school places and GP surgeries.</p> <p>That further scrutiny of Superfast Broadband is co-ordinated in order to determine its true accessibility in Dorset and whether it is providing value for money.</p> <p>That the Committee conveys its concern that the Brexit Advisory Group has not met following a report to the Economic Growth Overview and Scrutiny Committee in October 2017.</p>	<p>Marc Eyre, Senior Assurance Manager</p> <p>Overview & Scrutiny Management Board</p> <p>Democratic Services</p>	<p>The Annual Governance Statement actions were completed and the draft Statement were approved by Cabinet on 23 May 2018.</p> <p>To be advised.</p> <p>A meeting of the Brexit Advisory Group took place on 3 May 2018.</p>
	<p>30 - Monitoring Corporate Plan Outcomes: Update on Overview and Scrutiny Committee Activities, March 2018</p>	<p>That the Committee is not reassured concerning the performance indicators in relation to Superfast Broadband and that the Economic Growth Overview and Scrutiny Committee gives consideration to further investigation of broadband and mobile phone provision.</p> <p>That an internal audit of Equality Impact Assessments (EQiAs) is included in the audit programme to determine whether these are being considered in sufficient depth.</p>	<p>Chairman EGOSC</p> <p>Rupert Bamberger, SWAP</p>	<p>This matter is currently in progress.</p> <p>A review of Equality Impact Assessments has been included on the reserve list for the annual audit plan, and will be undertaken if/ when other audit reviews are cancelled or deferred.</p>